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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. S1022/8393

First Named Inventor or Application Identifier

WUIDART, Luc et al.

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July 13, 2000



APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS Box Patent Application TO: Commissioner for Patents Washington, DC 20231
[x] Fee Transmittal Form     (Submit an original, and a duplicate for fee processing)	6. ☐ Microfiche Computer Program (Appendix)
[x] Specification [Total pages 17]	7.   Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
13 - pages description	a. □ Computer Readable Copy
1 - page abstract	b.   □ Paper Copy (identical to computer copy)
3 - pages claims 13 - Total claims	c.   Statement verifying identity of above copies
3. [x] Drawing(s) (35 USC 113) [Total sheets 1]	ACCOMPANYING APPLICATION PARTS
☐ Informal [x] Formal [Total drawings 1-2]	
[x] Oath or Declaration [Total pages 3]	[x] Assignment Papers/cover sheet & documents(s)
a. [x] Newly executed (original or copy)	9.
b. □ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]	(when there is an assignee) ☐ Power of Attorney
i. DELETION OF INVENTOR(S)	10. ☐ English Translation of Document (if applicable)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. [x] Information Disclosure Statement PTO-1449 [x] Copies of IDS Citations
□ Incorporation by Reference	12. ☐ Preliminary Amendment
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supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
	15. [x] Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: PURSUANT TO 35 U.S.C. §119, APPLICAN APPLICATION 99 09563 FILED JULY 20, 1999	ITS HEREBY CLAIMS PRIORITY TO FRENCH PATENT

17.	If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:						
	☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:						
	☐ Cancel in this application original claims of the prior application before calculating the filing fee.						
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	This application is a □ continuation □ divisional of application serial no. , filed , entitled , and now .						

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SIZING OF AN ELECTROMAGNETIC TRANSPONDER

□ DUPLICATE SYSTEM FOR AN OPERATION IN EXTREME PROXIMITY

#### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED NUMBER EXTRA		RATE	FEE	
	TOTAL CLAIMS (37 CFR 1.16(c))	13-20=	0 x	\$18	= \$	0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3=	0 x	\$78	= \$	0.00
	MULTIPLE DEPENDEN	NT CLAIMS (if applicable)	(37 CFR 1.16(d)) +	\$260	= \$	
				BASIC FEE (37 CFR 1.16(a))	\$	690.00
			Total of above Ca	lculations =	\$	690.00
	Reduction by 50%	for filing by small entity (	Note 37 CFR 1.9, 1.2	7, 1.28).	\$	
		Assig	nment Recordation	Fee (if any)	\$	40.00
			Other F	ees (if any).	\$	
				TOTAL =	\$	730.00

#### General Authorization to Charge Deposit Account and General Request for Extension of Time

- 2. a. [x] If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ [x] 1.16 [x] 1.17 or □1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
  - b.  $\square$  The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ □1.16 □ 1.17 or □ 1.18.
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Docket No. S1022/8393 Date: July 13, 2000

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### SIZING OF AN ELECTROMAGNETIC TRANSPONDER SYSTEM FOR AN OPERATION IN EXTREME PROXIMITY

#### **Background Of The Invention**

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#### 1. Field of the Invention

The present invention relates to systems using electromagnetic transponders, that is, transceivers (generally mobile) capable of being interrogated in a contactless and wireless manner by a unit (generally fixed), called a read and/or write terminal. The present invention more specifically relates to transponders having no independent power supply. Such transponders extract the power supply required by the electronic circuits included therein from the high frequency field radiated by an antenna of the read/write terminal. The present invention applies to such transponders, be they read only transponders, that is, adapted to operate with a terminal only reading the transponder data, or read/write transponders, which contain data that can be modified by the terminal.

#### 2. Discussion of the Related Art

Systems using electromagnetic transponders are based on the use of oscillating circuits including a winding forming an antenna, on the transponder side and also on the read/write terminal side. These circuits are intended to be coupled by a close magnetic field when the transponder enters the field of the read/write terminal.

Fig. 1 very schematically shows, in a simplified way, a conventional example of a data exchange system between a read/write terminal 1 and a transponder 10.

Generally, unit 1 is essentially formed of an oscillating circuit formed of an inductance L1 in series with a capacitor C1 and a resistor R1, between an output terminal 2 of an amplifier or antenna coupler (not shown) and a reference terminal 3 (generally, the ground). The antenna coupler belongs to a circuit 4 for controlling the oscillating circuit and exploiting received data including, among others, a modulator-demodulator and a microprocessor for processing the control signals and the data. In the example shown in Fig. 1, node 5 of connection of capacitor C1 with inductance L1 forms a terminal for sampling a data signal received from transponder 10 for the demodulator. Circuit 4 of the terminal generally communicates with different input/output circuits (keyboard, screen, means of transmission to a provider, etc.) and/or processing circuits,

not shown. The circuits of the read/write terminal draw the power required by their operation from a supply circuit (not shown) connected, for example, to the electric supply system.

A transponder 10, intended for cooperating with a terminal 1, essentially includes an inductance L2, in parallel with a capacitor C2 between two input terminals 11, 12 of a circuit 13 of control and processing of transponder 10. Terminals 11, 12 are, in practice, connected to the input of a rectifying means (not shown), the outputs of which define D.C. supply terminals of the circuits internal to the transponder. In Fig. 1, the load formed of the circuits of transponder 10 on the oscillating circuit have been modeled by a resistor R2, shown in dotted lines, in parallel with inductance L2 and capacitor C2.

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The oscillating circuit of terminal 1 is excited by a high-frequency signal (for example, 13.56 MHz) intended for being sensed by a transponder 10. When transponder 10 is in the field of terminal 1, a high-frequency voltage is generated across terminals 11, 12 of the transponder's resonant circuit. This voltage, after being rectified, is intended for providing the supply voltage of electronic circuits 13 of the transponder. These circuits generally essentially include a microprocessor, a memory, a demodulator of the signals possibly received from terminal 1, and a modulator for transmitting information to the terminal.

The data transmission from transponder 10 to terminal 1 is generally performed by modifying the load of oscillating circuit L2, C2, so that the transponder draws a lesser or greater amount of power from the high-frequency magnetic field. This variation is detected, on the side of terminal 1, because the amplitude of the high-frequency excitation signal is maintained constant. Accordingly, a power variation of the transponder translates as a variation of the current amplitude and phase in antenna L1. This variation is then detected, for example, by a measurement of the signal of terminal 5, either by means of a phase demodulator, or by means of an amplitude demodulator. The load variation on the transponder side is generally performed by means of an electronic switch for controlling a resistor or a capacitor modifying the load of the oscillating circuit. The electronic switch is generally controlled at a so-called sub-carrier frequency (for example, 847.5 kHz), much smaller (generally with a ratio of at least 10) than the frequency of the excitation signal of the oscillating circuit of terminal 1.

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In the case of a phase demodulation by terminal 1, its modulator detects, in the sub-carrier half-periods when the electronic switch of the transponder is closed, a slight phase shift (by a few degrees, or even less than one degree) of the high-frequency carrier with respect to a reference signal. The demodulator output then provides a signal that is an image of the control signal of the electronic switch of the transponder, which can be decoded to restore the transmitted binary data.

To obtain a proper operation of the system, the oscillating circuits of terminal 1 and of transponder 10 are generally tuned on the carrier frequency, that is, their resonance frequency is set, for example, to the 13.56-MHz frequency. This tuning aims at maximizing the power transfer to the transponder, generally, a card of credit card size integrating the different transponder components.

The fields of application of electromagnetic transponders (for example, electronic purses, prepaid pass cards, etc.) may make it desirable to guarantee that a transponder only operates in a predetermined distance relation with a read/write terminal, more specifically, in extreme proximity, that is, in a relation generally defined by a distance smaller than 1 cm separating the respective antennas of the transponder and of the read/write terminal.

For example, in applications such as an electronic purse, the transaction security must be guaranteed, and pirates must then be unable to place a parasitic read terminal in the vicinity of an authorized terminal to intercept the information from the transponders using this authorized terminal. In this case, it must be guaranteed that a transponder will only operate in a relation of extreme proximity with the terminal.

However, in conventional systems, the remote supply of the transponders exhibits a gap, that is, a loss of remote supply power when the transponder is very close to the terminal. Among current solutions to solve this problem, a minimum interval is generally forced between antennas L1 and L2, for example by interposing a block between antenna L1 and the surface of the package before which the transponder is to pass. A disadvantage of this solution is that the coupling then no longer really corresponds to an extreme proximity, which makes the system particularly vulnerable to piracy by leaving a greater available range to the pirate.

Another known solution is, for an operation in extreme proximity, to increase the back-modulation resistance of the transponder. The aim then is to make the back modulation invisible by the terminal if the transponder is too far, the load variation becoming impossible to detect by the terminal demodulator. A disadvantage of this solution is that, in case a pirate terminal has been designed to be able to provide a sufficient power and to be provided with a very sensitive demodulator, the transponder is then visible, even from far away, by this pirate terminal.

#### **Summary Of The Invention**

The present invention aims at providing a solution to the need for operation in extreme proximity of electromagnetic transponder systems.

The present invention aims, in particular, at providing a solution that enables structurally dedicating a transponder and/or a terminal to an operation in extreme proximity.

More generally, the present invention aims at providing a solution that enables structurally dedicating a transponder and/or a terminal to an operation in a relation where the antennas are at a distance smaller than a predetermined value from each other.

The present invention also aims at providing a solution that is particularly simple to implement for the manufacturer and that is reliable in time.

To achieve these and other objects, the present invention provides an electromagnetic transponder of the type including a parallel oscillating circuit adapted to being excited by a series oscillating circuit of a read/write terminal when the transponder enters the field of the terminal, wherein the components of the oscillating circuit of the transponder are sized so that the coupling coefficient between the respective oscillating circuits of the terminal and of the transponder rapidly decreases when the distance separating the transponder from the terminal becomes greater than a predetermined value.

According to an embodiment of the present invention, the predetermined value corresponds to 1 centimeter.

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According to an embodiment of the present invention, the oscillating circuit of the transponder has no capacitor, the stray capacitance of the inductance performing the function of the capacitive element for the oscillating circuit.

According to an embodiment of the present invention, an inductance of the parallel oscillating circuit is increased or maximized, a capacitance of this oscillating circuit being decreased or minimized.

According to an embodiment of the present invention, inductance L2 of the parallel oscillating circuit is chosen so that the following relation is respected:

$$kopt = \sqrt{\frac{R1L2}{R2L1}},$$

where kopt represents the coupling coefficient providing a maximum voltage across the parallel oscillating circuit, where R1 represents the series resistance of the series oscillating circuit, where R2 represents the equivalent resistance of the transponder brought in parallel on inductance L2, and where L1 represents the inductance of the series oscillating circuit.

According to an embodiment of the present invention, the components of the oscillating circuit of the transponder are sized based on an operating point at a zero distance, chosen to correspond to a coupling coefficient smaller than an optimal coupling coefficient respecting the following relation:

$$V2max(kopt) = \sqrt{\frac{R2}{R1}} \frac{Vg}{2},$$

where V2max represents the voltage across the parallel oscillating circuit for the optimal coupling between the oscillating circuits, where R1 represents the series resistance of the series oscillating circuit, where R2 represents the equivalent resistance of the transponder brought in parallel on its oscillating circuit, and where Vg represents the excitation voltage of the series oscillating circuit.

According to an embodiment of the present invention, the number of turns of the inductance of the oscillating circuit of the transponder ranges between 5 and 15.

According to an embodiment of the present invention, the respective values of the capacitance and of the inductance of the parallel oscillating circuit range between 5 and 100 pf and between 2 and 25  $\mu H.$ 

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The present invention also provides a terminal for generating an electromagnetic field adapted to cooperating with at least one transponder when said transponder enters this field, including a series oscillating circuit for generating the electromagnetic field, this series oscillating circuit being sized so that the coupling coefficient between the respective oscillating circuits of the terminal and of the transponder strongly decreases when the distance separating the transponder from the terminal becomes greater than a predetermined value.

According to an embodiment of the present invention, the components of the oscillating circuit of the terminal are sized to fulfill the operating conditions of the transponder.

According to an embodiment of the present invention, the inductance of the terminal's series oscillating circuit includes a single turn.

The present invention further relates to a system of contactless electromagnetic transmission between a terminal and a transponder.

The foregoing objects, features and advantages of the present invention, will be discussed in detail in the following non-limiting description of specific embodiments in connection with the accompanying drawings.

#### **Brief Description Of The Drawings**

Fig. 1, previously described, very schematically shows a read/write terminal and an electromagnetic transponder of the type to which the present invention applies; and

Fig. 2 shows an example of variation of the voltage across the oscillating circuit of a transponder according to the distance separating it from a terminal.

#### 25 <u>Detailed Description</u>

For clarity, only those elements necessary to the understanding of the present invention have been shown in the drawings and will be described hereafter. In particular, the circuit for controlling and exploiting the oscillating circuits of the transponder and of the terminal have not been detailed.

A feature of the present invention is to provide a specific sizing of the oscillating circuit of an electromagnetic transponder so that said transponder is structurally

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dedicated to an operation in which it is at a distance smaller than a predetermined value from a read/write terminal, preferably, in extreme proximity, that is, at less than 1 cm.

The notion of distance to which the present invention refers is the distance separating respective antennas L1, L2 (Fig. 1) of a transponder 10 and of a terminal 1.

The present invention thus provides placing, preferably by respective sizings of the oscillating circuits of the transponder and of the antenna, the system operating point to guarantee the desired range operation at the tuning frequency, that is, when the resonance frequencies of the oscillating circuit substantially correspond to the remote supply carrier frequency (for example, 13.56 MHz).

Fig. 2 shows the variation of voltage V2 across terminals 11, 12 of the transponder according to distance d separating the transponder from a read/write terminal.

The curve of Fig. 2 can also be considered as showing the variation of voltage V2 according to coupling coefficient k between the oscillating circuits of the transponder and of the terminal. Indeed, the coupling between the oscillating circuits is a function of the distance separating the antennas. More specifically, coupling coefficient k is, as a first approximation, proportional to 1-d. Accordingly, in the following description, reference will be made either to distance or to the coupling coefficient as the abscissa of the characteristic of Fig. 2. The x-axis represents a distance d increasing towards the right of the drawing and a coupling coefficient k increasing towards the left of the drawing.

Voltage V2 exhibits a maximum V2max for an optimal value of coupling coefficient kopt. This value corresponds to the smallest distance separating the two antennas for which voltage V2 is maximum when the frequency corresponds to the resonance frequency of the oscillating circuits. This value corresponds, according to the present invention, to a short distance. For a given frequency and sizing determining the operating conditions, voltage V2 decreases on either side of the optimal coupling position.

The curve exhibits a reversal point for a coupling value of kopt  $\sqrt{3}$ , that is, for a distance smaller than the optimal coupling position. On the smaller distance side, the curve tends towards an asymptote at a minimum voltage position V2min. On the greater distance side at the optimal coupling position, the decrease of voltage V2 is stronger.

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The relation between optimal coupling coefficient kopt and the components of the oscillating circuits is the following:

$$kopt = \sqrt{\frac{R1L2}{R2L1}}$$

A coupling coefficient k equal to one corresponds to the theoretical limiting value. Accordingly, coefficient kopt is, in practice, always smaller than 1.

More generally, coupling coefficient k is provided by formula  $k=m/\sqrt{L1L2}$ , where m represents the mutual inductance between the oscillating circuits. This mutual inductance essentially depends on the geometry of antennas or inductances L1 and L2.

A feature of the present invention is to determine, by means of the respective values of the oscillating circuit components, a distance operating point such that moving away from this operating point strongly decreases the coupling between the oscillating circuits.

Thus, for an operation in extreme proximity, the oscillating circuits will be sized so that optimal coupling coefficient kopt is as much as possible to the left of the drawing, that is, towards small distances. Since this optimal coupling is theoretical and inaccessible in practice, two possibilities for placing the real operating point are then available in terms of coupling and distance, by the sizing of the oscillating circuits.

According to the present invention, the zero distance point will be chosen to correspond, while being as close as possible to the optimal coupling point, to a coupling coefficient smaller than the optimal coefficient and adapted to the minimum voltage V2tr required for a proper transponder operation. This amounts to placing an operating point at a zero distance to the right of the optimal coupling position on Fig. 2. This point corresponds to a real maximum coupling kmax. Coefficient kmax depends on the respective geometries of antennas L1 and L2 and is, of course, included between 0 and 1. In practice, it should be noted that the real maximum coupling coefficient kmax between two oscillating circuits generally does not exceed 0.7.

An advantage then is to be located in the portion of the voltage-distance characteristic having a steep slope. Thus, as soon as the distance moves away from the operating point by the increase of the interval between the two oscillating circuits, the coupling coefficient strongly decreases so that the transponder is then no longer supplied.

It should of course be noted that, since the distance cannot be negative, the determined operation point then is the point for which the coupling is maximum in the system configuration.

Preferably, the real maximum coupling point will be chosen so that the corresponding voltage V2 (V2(kmax)) is slightly greater than the minimum operating voltage V2tr of the transponder. For simplification, level V2tr has been indicated for coupling position kmax in Fig. 2. As a specific example of embodiment if voltage V2tr is 5 volts for a coefficient kmax of 0.2, voltage V2 becomes 2.5 volts for a coefficient k of 0.1.

Preferably, the highest possible value of inductance L2 of transponder 10 is chosen to have, at the resonance frequency (13.56 MHz), the smallest possible capacitance C2, for example on the order of some ten picofarads.

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An advantage of such an embodiment is that capacitor C2 thus is easier to integrate.

Another advantage is that the reactive currents, which are a source of dissipation in transponder 10, are thus decreased.

It should be noted that, while in conventional systems the value of inductance L2 of the transponder is desired to be increased to increase the system range, the present invention conversely provides to increase this inductance to reduce or minimize the range, to obtain a dedicated operation in extreme proximity.

Searching the greatest possible inductance L2 goes along with searching the highest possible coupling for the zero distance. Similarly, it will be desired to reduce or minimize the value of equivalent resistance R2, still for increasing the coupling coefficient in extreme proximity.

It should be noted that the search for the greatest possible inductance L2 corresponds to an increase of the number of turns of this inductance (for example, of the number of conductive turns in antenna L2 formed on the chip card forming the transponder). This increase of the number of turns increases the parasitic resistance of inductance L2. However, the increase of the parasitic series resistance corresponds, brought in parallel on the oscillating circuit, to a decrease of resistance R2. This is thus favorable to decrease resistance R2.

An advantage of reducing or minimizing the value of capacitor C2 is that this decreases the quality factor of the transponder. Indeed, the quality factor of a parallel resonant circuit is equal to  $\omega$ R2C2, where  $\omega$  represents the pulse of the oscillating circuit. Now, the lower the quality factor, the more the data rate can be increased between the transponder and the terminal.

A data-rate increase improves the system security with respect to a pirate read terminal. Indeed, a pirate reader will have to have a high quality factor to attempt to intercept the information coming from the transponder while it will not be in extreme proximity therewith. Having a high quality factor, the pirate reader will not be able to read the information with a high data-rate and, accordingly, will be inefficient.

Further, decreasing the quality factor on the transponder side discards the problem of the remote supply gap in conventional systems in extreme proximity. Indeed, the operation then is closer to that of a transformer.

A feature of a preferred embodiment of the present invention is, to reduce or minimize the value of capacitance C2, to eliminate the use of a capacitor in parallel on inductance L2 and to have the stray capacitance of the inductance perform the function of this capacitor. The present inventors have indeed acknowledged that this stray capacitance is the minimum value and that this minimum value varies little with the variations of the number of turns of the inductance. Accordingly, the inductance can then be sized so that its natural resonance frequency corresponds to the frequency of its carrier. For example, for a transponder of credit card size, an antenna of 10 turns on the card provides an inductance on the order of  $13.5 \, \mu H$ , with a stray capacitance of some ten picofarads. An advantage of this embodiment is that the surface area required to form the capacitor is saved. Further, any reactive current is then eliminated.

According to a preferred embodiment of the present invention, the respective values of the different components are determined as follows.

First, the application and the energetic needs of the transponder determine voltage V2tr to be obtained by remote supply. For a given excitation voltage Vg of the oscillating circuit of the terminal, the voltage V2 recovered by the transponder is a function of the respective values of series resistance R1 of the terminal and of equivalent resistance R2 of the transponder in parallel on its oscillating circuit. The value of resistance R2 can be

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evaluated based on the transponder components (microprocessor, regulator, etc.) that determine the remote supply need to be maintained.

At theoretical optimal coupling point kopt, voltage V2max is provided by the following relation:

$$V2max(kopt) = \sqrt{\frac{R2}{R1}} \frac{Vg}{2}.$$

More generally, the relation linking voltage V2 to coupling coefficient k can be written as:

$$V2(k) = \frac{kR2Vg\sqrt{\frac{L1}{L2}}}{R1 + k^2 \frac{L1}{L2}R2}$$

After determining the voltage V2 to be obtained across capacitor C2, capacitor C2 is sized to the smallest possible value to ease its integration.

Then, inductance L2 of the oscillating circuit is determined according to the desired resonance frequency, based on the relation:

$$L2 = \frac{1}{C2\omega^2}.$$

Knowing inductance L2, the value to be given to the inductance of antenna L1 of the terminal to optimize the system can be determined. The relation linking these two values for the curve of Fig. 2 to be respected is, at the tuning, that is, for a sizing setting the resonance frequency to the remote supply carrier frequency:

$$I.1 = \frac{R1L2}{R2k^2}$$

Preferably, the value of inductance L1 is chosen to be as small as possible, that is, by minimizing its number of turns. Thus, according to the present invention, the number of turns of the terminal is relatively small, preferably 1, and the number of turns of the transponder is relatively high, preferably between 5 and 15 for a credit card format.

Preferably, a transponder of the present invention uses a single-halfwave rectification of voltage V2. Indeed, since the system of the present invention is provided to operate at a smaller range, the required power is also smaller.

Preferably, the terminal will be provided with a resistance R1 as high as possible to obtain an optimal coupling (smaller than or equal to 1) at the shortest possible distance.

As a specific example of embodiment, for a 13.56-MHz carrier frequency and for a value of 10 picofarads for capacitor C2, an antenna L2 having an inductance of approximately 13.5 microhenrys will be used. If the transponder's microprocessor requires a minimum voltage on the order of 4 volts to operate, a voltage V2 of approximately 5 volts will be chosen for a null distance position. The preferred ranges of values are, for example, a capacitor C2 of given value included between 5 and 100 picofarads and an inductance L2 of given value included between 2 and 25 microhenrys.

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It should be noted that the fact of structurally determining the respective values of the components of the oscillating circuits of the terminal and the transponder is not disturbing. Indeed, in most applications, a given transponder type is dedicated to a terminal. In particular, the operating characteristics of electromagnetic transponder systems are generally submitted to standards. Accordingly, it is not disturbing to definitively determine the relations between the oscillating circuits of a terminal and of a transponder. Conversely, this is an advantage of the present invention since risks of unauthorized intervention on the transponder for piracy are thus avoided.

An advantage of the present invention is that it enables forming transponders and systems dedicated to an operation in extreme proximity.

Another advantage of the present invention is that it fulfils the strictest requirements to avoid the piracy of a transponder.

Of course, the present invention is likely to have various alterations, modifications, and improvements which will readily occur to those skilled in the art. In particular, the choice of the values of the components of the oscillating circuits is within the abilities of those skilled in the art based on the functional indications and on the relations given hereabove, according to the application and, in particular, to the carrier frequency on which these oscillating circuits are to be tuned. Further, it should be noted that the present invention does not alter the respective operations of the transponder and of the terminal as concerns the digital processing circuits.

Among the applications of the present invention are readers (for example, access control terminals or porticoes, automatic dispensers, computer terminals, telephone terminals, televisions or satellite decoders, etc.) of contactless chip cards (for example, identification cards for access control, electronic purse cards, cards for storing information about the card holder, consumer fidelity cards, toll television cards, etc.), as well as such chip cards.

Such alterations, modifications, and improvements are intended to be part of this disclosure, and are intended to be within the spirit and the scope of the present invention. Accordingly, the foregoing description is by way of example only and is not intended to be limiting. The present invention is limited only as defined in the following claims and the equivalents thereto.

What is claimed is:

#### **CLAIMS**

- 1. An electromagnetic transponder of the type including a parallel oscillating circuit adapted to being excited by a series oscillating circuit of a read/write terminal when the transponder enters the field of the terminal, wherein the components of the oscillating circuit of the transponder are sized so that the coupling coefficient between the respective oscillating circuits of the terminal and of the transponder rapidly decreases when the distance separating the transponder from the terminal becomes greater than a predetermined value.
- 2. The electromagnetic transponder of claim 1, wherein the predetermined value corresponds to 1 centimeter.
- 3. The electromagnetic transponder of claim 1, having an oscillating circuit not including a capacitor, the stray capacitance of the inductance performing the function of a capacitive element for the oscillating circuit.
- 4. The electromagnetic transponder of claim 1, wherein an inductance of the parallel oscillating circuit is maximized, a capacitance of this oscillating circuit being minimized.

5. The electromagnetic transponder of claim 1, wherein the inductance of the parallel oscillating circuit is chosen in accordance with the following relation:

$$kopt = \sqrt{\frac{R1L2}{R2L1}},$$

where kopt is the coupling coefficient providing a maximum voltage across the parallel oscillating circuit, R1 is the series resistance of the series oscillating circuit, R2 is the equivalent resistance of the transponder brought in parallel on inductance L2, and L1 is the inductance of the series oscillating circuit.

6. The electromagnetic transponder of claim 1, wherein the components of the oscillating circuit of the transponder are sized based on an operating point at a zero

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distance, chosen to correspond to a coupling coefficient smaller than an optimal coupling coefficient in accordance with the following relation:

$$V2max(kopt) = \sqrt{\frac{R2}{R1}} \frac{Vg}{2},$$

where V2max is the voltage across the parallel oscillating circuit for the optimal coupling between the oscillating circuits, R1 is the series resistance of the series oscillating circuit, R2 is the equivalent resistance of the transponder brought in parallel on its oscillating circuit, and Vg is the excitation voltage of the series oscillating circuit.

- 7. The electromagnetic transponder of claim 1, wherein the number of turns of the inductance of the oscillating circuit of the transponder ranges between 5 and 15.
  - 8. The electromagnetic transponder of claim 1, wherein the respective values of the capacitance and of the inductance of the parallel oscillating circuit range between 5 and 100 pf and between 2 and 25  $\mu$ H.

9. A terminal for generating an electromagnetic field adapted to cooperating with at least one transponder when said transponder enters this field, including a series oscillating circuit for generating the electromagnetic field, this series oscillating circuit being sized so that the coupling coefficient between the respective oscillating circuits of the terminal and of the transponder strongly decreases when the distance separating the transponder from the terminal becomes greater than a predetermined value.

- 10. The terminal of claim 9, wherein the components of its oscillating circuit are sized to fulfill the operating conditions of the transponder of claim 1.
- 11. The terminal of claim 10, wherein the inductance of its series oscillating circuit includes a single turn.
- 12. A system of contactless electromagnetic transmission between a terminal and a transponder, wherein the transponder is that of claim 1.

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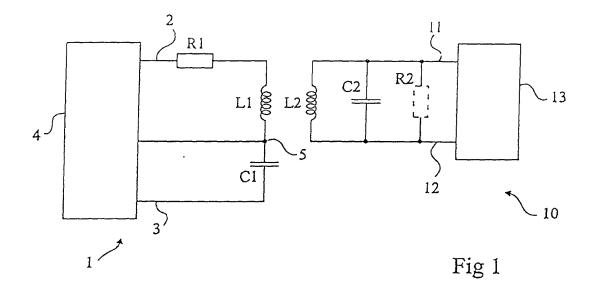
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13. A system of contactless electromagnetic transmission between a terminal and a transponder, wherein the terminal is that of claim 9.

#### **ABSTRACT**

A system of contactless electromagnetic transmission between a terminal including a series oscillating circuit for generating an electromagnetic field and a transponder including a parallel oscillating circuit, these oscillating circuits being sized so that the coupling coefficient between them strongly decreases when the distance separating the transponder from the terminal becomes greater than a predetermined value.



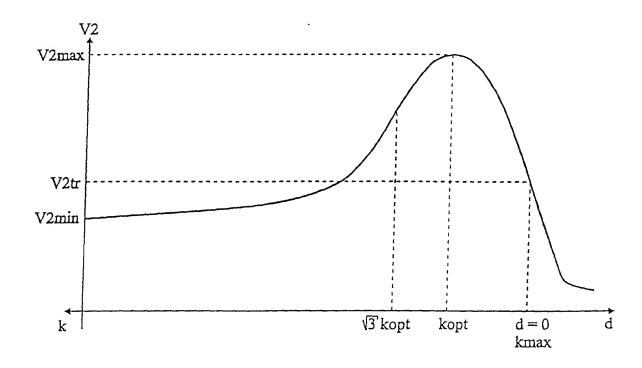


Fig 2

# Declaration and Power of Attorney for Patent Application Déclaration et Pouvoirs pour Demande de Brevet

#### French Language Declaration

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:

As a below named inventor, I hereby declare that:

Mon domicile, mon adresse postale, et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.

My residence, post office address and citizenship are as stated next to my name.

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers coinventeurs originaux (si plusieurs noms sont mentionnés cidessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention cntitled

SIZING OF AN ELECTROMAGNETIC TR	ransponder system for an	OPERATION IN	EXIREME PROXIMITY
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et dont la description est fournie ci-joint à moins que la case suivante n'ait été cochée:

a été déposée le sous le numéro de demande des Etats-Unis ou le numéro de demande international PCT et modifiée le (le cas échéant).

the specification of which is attached hereto unless the following box is checked:

was filed on
as United States Application Number or PCT
International Number
and was amended on
(if applicable)

Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises, telles que modifices par toute modification dont il aura été fait référence ci-dessus.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, §1.56 du Code fédéral des réglementations.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

Page 1 of 3

PTO/SB/105(Rev. 5-95) OMB 0651-0032

#### French Language Declaration

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, §119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

Prior foreign application(s) Demande(s) de brevet antérieure(s) 99/09563 FRANCE Country) Number (Numéro) (Pays) (Number) (Country) (Pays) (Numéro)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35 §119(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

(Application No.) (Nº de demande) (Filing Date) (Date de dépût) (Application No.) (Filing Date) (Date de dépôt) (Nº de demande)

Je revendique par le présent acte, le bénéfice, en vertu du Titre 35 § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du l'ître 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code Fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande:

(Application No.) (Filing Date) (Date de Dépôt) (N° de Demande) (Filing Date) (Application No.) (Nº de Demande) (Date de Dépôt)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique, et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement sausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby claim foreign priority under Title 35, United States Code, §119(a)-(d) or § 365(b) of any foreign applications(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

→→→ ST HABABOU

Priority not claimed Droit de priorité non revendiqué 20 JULY 1999 (Day/Month/Year Filed) (Jour/Mois/Année de dépôt) (Day/Month/Year Filed) (Jour/Mois/Année de dépôt)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35. United States Code, §120 of any United States application(s) or § 365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Status)(Patented, pending abandoned) (Statut)(breveté, en cours d'examen, abandonné)

(Status)(Patented, pending abandoned) (Statut)(breveté, en cours d'examen, abandonné)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Page 2 of 3

→→→ ST HABABOU

29/06 '00 JEU 16:45 FAX 0476446254

OUVOIR: En tant que l'in- /ou agent(s) suivant(s) je mande de brevet et trait revets et des marques: (me	pour qu'il(s) p te(nt) toute affai	oursuive(nt) la procédire s'v rapportant avec	ure de cette l'Office des	following attorney(s) and/o	r agent(s) t	d inventor, I hereby appoint to prosecute this application a or prosecute this application ark Office connected therewith	and transact
evid Wolf eorge L. Greenfield tanley Sacks dward F. Perlman awrence M. Green teven J. Henry dward R. Gates herese A. Hendricks villiam R. McClellan conald J. Krandsdorf 4. Lawrence Oliverio ason M. Honeyman ames H. Morris Adresser toute corresponda	17,528 Pei 17,756 Ga 19,900 Pei 28,105 Ra 29,384 Rii 27,900 Do 31,616 Eli 30,389 Ti 29,409 Jol 20,004 He 30,915 Ja 31,624 Cli 34,681	ter C. Lando  ary S. Engelson  ter J. Gordon  andy J. Pritzker  chard F. Giunta  buglas R. Wolf  izabeth R. Plumer  mothy J. Oyer  hn N. Anastasi  elen C. Lockhart  mes M. Hauifin, Jr.  aristopher S. Schuitz	34,654 35,128 35,164 35,986 36,149 36,971 36,637 36,628 37,765 39,248 37,929 39,039	Paul D. Sorkin John R. VanAmsterdam Matthew B. Lowrie Robert E. Rigby, Jr. Robert A. Skrivanek, Jr. Robert M. Abrahamsen Ivan D. Zitkovsky Alan B. Sherr Edward J. Russavage John C. Gorecki William G. Gosz Neil P. Ferraro	40,212 33,228 36,904 41,316 40,886 37,482 43,299 42,147 43,069 38,471 27,787 39,188	Lisa E. Winsor Mark Steinberg Stephen R. Finch Joseph Teja, Jr. Alan W. Steele Daniel P. McLoughlin Robert H. Walat Thomas G. Field Michael J. Pomianek Theodore E. Galanthay Lisa K. Jorgenson Robert D. McCutcheon Jeff Moy	44,405 40,825 42,534 45,157 45,128 P-46,066 P-46,324 I-45,596 P-46,190 24,122 34,845 38,717 39,300
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Nom complet de l'un	ique ou premier		17) 720-3500	Full name of sole or first inve	entor		<del></del>
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Domicile 83910 FOURRIER	ES, FRANCE			Residence			
Nationalité ' Belgian	,			Citizenship			
Adresse Postale 12, Lotissemen	it Le Cade			Post Office Address	•		
Nom complet du seco		, le cas échéant		Full name of second or joint	inventor		
Signature de l'invent	eur #EAAF 1	PLEANE JU	y 29th	Inventor's signature		Date	
Domicile 13119 SAINT SA				Residence			
Nationalité French	·		<del></del>	Citizenship			
Adresse Postale 6, Place de la	Libération,	La Valentine		Post Office Address			
(Fournir les mêmes rensei supplémentaire.)	gnements et la si	gnature de tout co-inven	leur	(Supply similar information inventors.)	and signat	ure for third and sub-sequent	joint